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# Imaging Nicotine- and Amphetamine-Induced Dopamine Release in Rhesus Monkeys with [<sup>11</sup>C]PHNO vs [<sup>11</sup>C]raclopride PET

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The radiotracer [<sup>11</sup>C]PHNO may have advantages over other dopamine (DA)  $D_2/D_3$  receptor ligands because, as an agonist, it measures high-affinity, functionally active  $D_2/D_3$  receptors, whereas the traditionally used radiotracer [<sup>11</sup>C]raclopride measures both high- and low-affinity receptors. Our aim was to take advantage of the strength of [<sup>11</sup>C]PHNO for measuring the small DA signal induced by nicotine, which has been difficult to measure in preclinical and clinical neuroimaging studies. Nicotine- and amphetamine-induced DA release in non-human primates was measured with [<sup>11</sup>C]PHNO and [<sup>11</sup>C]raclopride positron emission tomography (PET) imaging. Seven adult rhesus monkeys were imaged on a FOCUS 220 PET scanner after injection of a bolus of [<sup>11</sup>C]PHNO or [<sup>11</sup>C]raclopride in three conditions: baseline; preinjection of nicotine (0.1 mg/kg bolus + 0.08 mg/kg infusion over 30 min); preinjection of amphetamine (0.4 mg/kg, 5 min before radiotracer injection). DA release was measured as change in binding potential ( $BP_{ND}$ ). Nicotine significantly decreased  $BP_{ND}$  in the caudate (7 ± 8%), the nucleus accumbens (10 ± 7%), and in the globus pallidus (13 ± 15%) measured with [<sup>11</sup>C]PHNO, but did not significantly reduced  $BP_{ND}$  in all regions with both radiotracers. In the striatum, larger amphetamine-induced changes were detected with [<sup>11</sup>C]PHNO compared with [<sup>11</sup>C]raclopride (52–64% vs 33–35%, respectively). We confirmed that [<sup>11</sup>C]PHNO is more sensitive than [<sup>11</sup>C]raclopride to nicotine- and amphetamine-induced DA release. [<sup>11</sup>C]PHNO PET may be more sensitive to measuring tobacco smokers.

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#### INTRODUCTION

Tobacco smoking continues to be a major health problem, with rates of smoking remaining steady at approximately 20% of the adult American population (CDC, 2010). Nicotine is the primary addictive chemical in tobacco smoke and exerts its initial reinforcing effects through the mesolimbic dopamine (DA) system(Di Chiara and Imperato, 1988). Specifically, nicotine releases DA by binding to  $\alpha_4\beta_2$ nicotinic acetylcholine receptors located on the mesolimbic DA neurons in the ventral tegmental area, resulting in neuronal firing and DA release in the nucleus accumbens and dorsal caudate (Imperato *et al*, 1986). In preclinical microdialysis studies, acute doses of nicotine consistently increased DA by up to 200% from baseline (Brazell *et al*, 1990; Dewey *et al*, 1999; Di Chiara and Imperato, 1988; Gerasimov *et al*, 2000; Imperato *et al*, 1986).

Positron emission tomography (PET) imaging can also be used to examine drug-induced DA release. Drugs, such as amphetamine, increase synaptic DA, which competes with the radiotracer to bind at the DA receptor. An increase in DA results in a decrease in radiotracer binding compared with baseline. In this study, we measure this change in binding potential ( $BP_{\rm ND}$ ), which is an indirect measure of the change in synaptic DA. Amphetamine administration results in a reliable and robust increase in extracellular DA (~1000% increase from baseline in microdialysis studies) (Di Chiara and Imperato, 1988) and has been widely used in brain imaging studies to probe DA function (Laruelle, 2000; Slifstein *et al*, 2010). Attempts have also been made to measure nicotine- and tobacco smoking-induced DA release, but with inconsistent results.

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In preclinical [<sup>11</sup>C]raclopride studies nicotine challenges (ranging from 0.01 to 0.06 mg/kg, intravenously) resulted in 5–12% reductions in  $BP_{ND}$  in the caudate and putamen of monkeys (Dewey *et al*, 1999; Marenco *et al*, 2004). Similarly, a nicotine (0.05–0.5 mg/kg) challenge in pigs resulted in a 10% decrease in ventral striatal  $BP_{ND}$  (Cumming *et al*, 2003). Although an acute nicotine injection did not change [<sup>11</sup>C]raclopride  $BP_{ND}$  in awake monkeys,  $BP_{ND}$  was reduced in anesthetized monkeys (Tsukada *et al*, 2002), suggesting that anesthetics routinely used in non-human primate imaging may confound data interpretation.

In humans, cigarette smoking consistently reduced [<sup>11</sup>C]raclopride  $BP_{ND}$  by ~8% (Brody et al, 2006, 2009a, 2010) and up to 10% (Scott et al, 2007) in the ventral striatum in acutely abstinent tobacco smokers, but also see Barrett et al (2004). Note that nicotine alone may not be responsible for smoking-induced DA release. There are approximately 4000 chemicals in tobacco smoke, and one or more of these compounds may act alone or in concert with nicotine and the behavioral aspects of smoking to produce reinforcing and dopaminergic effects. For example, harman, an MAO inhibitor, may contribute to the reinforcing effects of cigarette smoking via a dopaminergic mechanism (Talhout et al, 2007; Villegier et al, 2007). Modest (~7%) reductions in [11C]raclopride BP<sub>ND</sub> were found using nicotine gum (Takahashi et al, 2007) but not nicotine nasal spray (Montgomery et al, 2007), indicating that nicotine administration may not produce DA release detectable with [<sup>11</sup>C]raclopride PET.

The majority of PET studies examining DA release have used the radiotracer [<sup>11</sup>C]raclopride, which is an antagonist, and binds to both high- and low-affinity  $D_2/D_3$  receptors. However, the recently developed radiotracer [<sup>11</sup>C]PHNO may have advantages over other  $D_2/D_3$  receptor ligands because, as an agonist, it primarily measures the highaffinity, functionally active  $D_2/D_3$  receptors that DA binds. Thus, it may be more sensitive to competition with endogenous DA (Narendran *et al*, 2006; Willeit *et al*, 2006, 2008). Improved sensitivity of [<sup>11</sup>C]PHNO over [<sup>11</sup>C]raclopride to amphetamine-induced changes in synaptic DA has been demonstrated (Ginovart *et al*, 2006; Shotbolt *et al*, 2012). Our objective was to take advantage of the sensitivity of [<sup>11</sup>C]PHNO to measure the small DA signal induced by nicotine. In addition, [<sup>11</sup>C]PHNO has a higher affinity for

Table	L	Study	Plan
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D3 vs D2 DA receptors, which allows for regional interpretation of D3 and D2 receptors (Girgis *et al*, 2011). Specifically, in human, binding in the dorsal striatum is primarily D2, binding in the substantia nigra is primarily D3, and binding in the globus pallidus is mixed with approximately 65% D3 vs D2 DA receptors (Tziortzi *et al*, 2011). This provides an additional advantage over [<sup>11</sup>C]raclopride, which is constrained to the D2 DA receptor-rich areas in the striatum. In this study, we measured nicotine-and amphetamine-induced DA release in non-human primates with [<sup>11</sup>C]PHNO vs [<sup>11</sup>C]raclopride PET.

# MATERIALS AND METHODS

### Animals and Study Plan

Seven rhesus monkeys were included in the study (6 males, 1 female, body weight =  $10.6 \pm 3.3$  kg, age =  $6.7 \pm 2.2$  years). All experiments were conducted in accordance with the Yale University Institutional Animal Care and Use Committee guidelines. To avoid potential carryover effects of the radiotracer from one injection to the next, only one scan was performed per day and per animal. For the [<sup>11</sup>C]PHNO study, all seven animals underwent one baseline scan; then, three animals underwent a retest scan, six animals underwent a postamphetamine scan. Five animals were included in the [<sup>11</sup>C]raclopride study: all five animals underwent one baseline scan and one postnicotine scan, and four animals underwent one baseline scan and one postnicotine scan, and four animals underwent one baseline scan and one postnicotine scan, and four animals underwent one baseline scan (Table 1).

#### Radiochemistry

[<sup>11</sup>C]PHNO was prepared by *N*-acylation of the norpropyl precursor with [<sup>11</sup>C]propionyl chloride followed by reduction of the resulting amide with lithium aluminum hydride and purification by reverse-phase HPLC, in a modified literature procedure similar to that used for the preparation of [<sup>11</sup>C]NPA (Brown *et al*, 1997; Hwang *et al*, 2000; Wilson *et al*, 2005) as described previously (Gallezot *et al*, 2012). The purified [<sup>11</sup>C]PHNO product was formulated in 1 ml of USP absolute ethanol and 10 ml of USP sterile saline. Filtration of the ethanolic saline solution through a 0.22  $\mu$ m Millipore membrane filter produced a sterile apyrogenic

			[''С]РНМО		[ <sup>11</sup> C]Raclopride				
Animal	Baseline	Retest	Nicotine challenge	Amphetamine challenge	Baseline	Nicotine challenge	Amphetamine challenge		
	×	×	×						
2	×	×							
3	×		×	×	×	×	х		
4	×		х	×	×	×	х		
5	×	×	х	×	×	×	х		
6	х		×	×	х	×	Х		
7	×		×		×	×			
n	7	3	6	4	5	5	4		

868

[<sup>11</sup>C]PHNO solution ready for intravenous administration. Radiochemical purity of the final product was >95%. The specific activity at end of synthesis was  $77 \pm 36$  MBq/nmol (n = 20).

[<sup>11</sup>C]Raclopride was prepared by C-11 methylation of the radiolabeling precursor desmethyl raclopride with [<sup>11</sup>C]methyl triflate in the GE FxC-Pro automated synthesis module. The final product was formulated in 1 ml of USP absolute ethanol and 10 ml of USP sterile saline. Filtration of the ethanolic saline solution through a 0.22 µm Millipore membrane filter produced a sterile apyrogenic [<sup>11</sup>C]raclopride solution ready for intravenous administration. Radiochemical purity of the final product was >95%. The specific activity at the end of synthesis was  $264 \pm 138$  MBq/nmol (n = 14).

### **PET Imaging**

Animals were initially anesthetized with ketamine hydrochloride (10 mg/kg, intramuscularly), transported to the PET facility, intubated, and maintained on oxygen and 1.5-4.0% isoflurane throughout the study. A 2-h interval was allowed between ketamine administration and injection of the radiotracer or drugs. Vital signs including respiration rate, blood pressure, heart rate, and temperature were monitored continuously and recorded every 15 min, and temperature was kept constant at 37 °C with heated water blankets. Arterial blood sampling was performed using a vascular access port, that is, a thin catheter leading from the femoral artery to a port implanted subcutaneously in the area of the hip, upper thigh, or dorsum, during a previous surgery. PET scans were performed on the Focus 220 PET scanner (Siemens/CTI, Knoxville, TN, USA), which has an intrinsic resolution of 1.4 mm at the center of the field of view. A transmission scan was acquired for image reconstruction.

Amphetamine and nicotine were obtained from Sigma Aldrich (St Louis, MO, USA). For amphetamine studies (n = 8), 0.4 mg/kg of amphetamine salt was administered as a 1-min bolus  $5.0 \pm 0.1$  min before tracer injection. For nicotine studies (n = 11),  $0.18 \pm 0.01$  mg/kg of nicotine was administered using a bolus-plus-infusion protocol started  $21 \pm 10$  min before tracer injection. The bolus phase lasted  $5 \min (0.10 \pm 0.01 \text{ mg/kg})$  and the infusion  $32 \pm 7 \min (0.08 \pm 0.01 \text{ mg/kg})$ . Arterial blood samples were obtained for analysis of nicotine and cotinine or amphetamine at 2, 5, 15, 25 and 50 min after drug injection.

[<sup>11</sup>C]PHNO (114 ± 45 MBq) or [<sup>11</sup>C]raclopride (174 ± 21 MBq) was injected in a 3-min bolus using a syringe pump (Harvard PHD 22/2000; Harvard Apparatus, Holliston, MA), and list-mode data were acquired for 120 min. The injected mass was  $0.08 \pm 0.02 \,\mu\text{g/kg}$  (max  $0.1 \,\mu\text{g/kg}$ ) for [<sup>11</sup>C]PHNO and  $0.08 \pm 0.02 \,\mu\text{g/kg}$  (max  $0.2 \,\mu\text{g/kg}$ ) for [<sup>11</sup>C]raclopride.

Dynamic scan data were binned into a sequence of 33 frames ( $6 \times 30$  s;  $3 \times 1$  min;  $2 \times 2$  min;  $22 \times 5$  min), and each frame was reconstructed with the appropriate corrections (attenuation, normalization, scatter, randoms, and dead-time), using a FORE/FBP algorithm and a Shepp filter with a cutoff frequency of 0.15 times the sampling frequency (reconstructed image resolution approximately 3.2 mm).

# Arterial Input Function Measurement for [<sup>11</sup>C]PHNO

The arterial input function was measured for 16 out of 20 PET studies performed with  $[^{11}C]PHNO$ , and was used to validate the selection of kinetic model to quantify  $[^{11}C]PHNO$  *BP*<sub>ND</sub> (see Supplementary Information for details).

### **MR** Imaging

MR images were acquired for each rhesus monkey on a Siemens 3.0 T Trio scanner, using an extremity coil. T1-weighted images were acquired in the coronal plane with a spin echo sequence (TE = 3.34, TR = 2530, flip angle = 7°, section thickness = 0.50 mm, field of view = 140 mm, image matrix =  $256 \times 256 \times 176$  pixels, matrix size =  $0.547 \times 0.547 \times 0.500$  mm<sup>3</sup>). Non-brain tissue was removed and the image cropped to  $176 \times 176 \times 176$  pixels using MEDx software (Medical Numerics, Germantown, MD) before coregistration with PET images.

### **Region of Interest Delineation**

An existing region of interest (ROI) map defined on a template brain (a representative MR image of a brain, from an animal not included in the study) was utilized. The following, a priori defined, ROIs were examined: cerebellum  $(5.9 \text{ cm}^3)$ , caudate  $(0.43 \text{ cm}^3)$ , putamen  $(0.38 \text{ cm}^3)$ , globus pallidus (0.13 cm<sup>3</sup>), nucleus accumbens (0.09 cm3), and substantia nigra (0.10 cm<sup>3</sup>). Both [<sup>11</sup>C]PHNO and [<sup>11</sup>C]raclopride were used to measure  $BP_{ND}$  in the caudate, putamen, and nucleus accumbens. Owing to low raclopride signal, only  $[^{11}C]$ PHNO has measurable  $BP_{ND}$  in the globus pallidus and substantia nigra. Similarly, although <sup>11</sup>C]PHNO has moderate binding in the thalamus ( $BP_{\rm ND}$  $\sim 0.3$ ), this region was not part of our primary hypothesis and was excluded a priori to avoid reducing statistical power (due to multiple comparisons) in higher binding regions. The regions were drawn on coronal slices on the template MR image. In particular, the substantia nigra was drawn on seven consecutive slices in the midbrain with location and shape of the ROI based on a histological atlas (Paxinos et al, 2000). The cerebellum ROI includes both gray and white matter tissues. A nonlinear transformation was used (bioimagesuite program; version 2.5; http:// www.bioimagesuite.org/) to transfer the ROI template to the MR image of each subject. Then, the MR and PET images of each monkey were coregistered using a rigid body registration using an automatically selected PET sum image (Sandiego et al, 2013).

#### **Kinetic Modeling**

 $[^{11}C]$ raclopride  $BP_{ND}$  were quantified using the simplified reference tissue model (SRTM) (Lammertsma and Hume, 1996) using the cerebellum time-activity curve (TAC) as input data. The SRTM operational equation is:

$$C_{\mathrm{T}}(t) = R_1 C_{\mathrm{Ref}}(t) + R_1 \left(k_2^{'} - k_2\right) C_{\mathrm{Ref}}(t) \, \otimes \, \mathrm{e}^{-k_2 t}$$

where  $R_1$  is the relative influx rate in the target region,  $k_2$  and  $k'_2$  are the efflux rates from the target and reference regions, respectively, and  $BP_{\rm ND}$  is equal to  $R_1k'_2/k_2 - 1$ .

<sup>[11</sup>C]PHNO BP<sub>ND</sub> (Innis et al, 2007) were quantified using the multilinear analysis MA1 (Ichise et al, 2002) using the arterial input function, and the cerebellum as reference region to estimate the non-displaceable volume of distribution  $(V_{\rm ND})$ . MA1 is based on the graphical analysis (Logan et al, 1990). As arterial input functions were not available for all [<sup>11</sup>C]PHNO studies, binding potentials BP<sub>ND</sub> were also estimated using two reference region methods: SRTM and MRTMS, both using the cerebellum TAC as input data. This second reference method is a simplification of the multilinear methods MRTM and MRTM2 (Ichise et al, 2008; Ichise et al, 2002). MRTMS differs from MRTM and MRTM2 in that it drops a term on the right-hand side of the equation directly proportional to the reference tissue concentration. This approach is based on the same hypotheses as the simple form of reference region graphical analysis (Gunn et al, 2002; Logan et al, 1996; see equation 7). The MRTMS, operational equation is:

$$C_{\mathrm{T}}(t) = - rac{1+BP_{\mathrm{ND}}}{b} {}_{0}^{t} C_{\mathrm{Ref}}(u) du + rac{1}{b} {}_{0}^{t} C_{\mathrm{T}}(u) du, tt^{*}$$

where  $C_{\rm T}$  and  $C_{\rm Ref}$  represent the target ROI and the cerebellum TACs, respectively. The time  $t^*$  after which the underlying graphical analysis plot is considered linear was set to 20 min for MA1 and 40 min for MRTMS.

#### Statistical Analysis

Test-retest variability (TRV) of  $[^{11}C]PHNO BP_{ND}$  was assessed by computing the mean and standard deviation of

$$2 \times \left( BP_{ND}^{Scan2} - BP_{ND}^{Scan1} \right) / \left( BP_{ND}^{Scan2} + BP_{ND}^{Scan1} \right)$$

Linear mixed models were used to examine changes in  $BP_{\rm ND}$  levels across drug and region. Separate models were developed for each radiotracer with three regions (caudate, putamen, nucleus accumbens) and five regions (caudate, putamen, nucleus accumbens, globas pallidus, substantia nigra) included in the [11C]raclopride and [11C]PHNO models, respectively. In these models, both drug (baseline, nicotine, amphetamine) and region were modeled as within-subjects factors. Within-subject correlations were accounted for with random subject effects and by fitting the best variance-covariance structure to the data according to the Bayesian Information Criterion. For the [<sup>11</sup>C]PHNO model, separate variance and covariance parameters were estimated within each region, based on regional heterogeneity. Owing to the limited size of the current sample, we report unadjusted p-values (p < 0.05). Spearman's correlations were used to examine relationships between changes in  $BP_{ND}$  and plasma levels of nicotine and amphetamine. Statistical calculations were performed using SAS, version 9.3 (SAS, Cary, NC).

Effect size was computed as the absolute value of the mean difference of the  $BP_{\rm ND}$ , before and after drug (either nicotine or amphetamine), divided by the standard deviation of the differences. Statistical power computations were performed using G\*Power 3 (version 3.1.6), using a power ( $\beta$ ) of 0.8 and an error probability ( $\alpha$ ) of 0.05, and a one-tailed hypothesis, as in a previous study with nicotine and [<sup>11</sup>C]raclopride (Marenco *et al*, 2004).

RESULTS



# Quantification of [<sup>11</sup>C]PHNO BP<sub>ND</sub>

[<sup>11</sup>C]PHNO binding potentials  $BP_{\rm ND}$  estimated with MA1 and arterial input function and MRTMS with the cerebellum as input were highly correlated (y = 0.893x + 0.244,  $r^2 = 0.962$ , 16 scans and 5 regions of interest). SRTM  $BP_{\rm ND}$ showed a lower slope in its regression relationship with MA1  $BP_{\rm ND}$  (y = 0.786x + 0.549,  $r^2 = 0.961$ ). Therefore, MRTMS  $BP_{\rm ND}$  estimates were selected to evaluate the TRV of [<sup>11</sup>C]PHNO binding, and the effects of nicotine and amphetamine on [<sup>11</sup>C]PHNO binding, including all studies (with and without arterial blood sampling) (see Supplementary Information for details).

# TRV of [<sup>11</sup>C]PHNO BP<sub>ND</sub>

The average [<sup>11</sup>C]PHNO  $BP_{ND}$  obtained during the three test scans of the test-retest study, and the relative changes in  $BP_{ND}$  between the test and retest scans are shown in Table 2. The test and retest  $BP_{ND}$  estimates were not significantly different in any region (paired Student's *t*-test, the smallest *p*-value was 0.12, in the substantia nigra). The TRV of  $BP_{ND}$ , estimated as the standard deviation of the difference in  $BP_{ND}$ , ranged from 6% in the nucleus accumbens to 17% in the caudate and substantia nigra.

#### Plasma Levels of Nicotine and Amphetamine

Amphetamine levels (ng/ml) in the blood peaked rapidly at  $391 \pm 26$  (2 min), and then progressively declined as follows:  $192 \pm 28$  (5 min),  $133 \pm 23$  (15 min),  $112 \pm 19$  (25 min), and  $94 \pm 19$  (50 min). Areas under the curve (until 50 min) for all amphetamine studies were 6305 + 834 ng/ml  $\cdot$  min. Nicotine levels (ng/ml) in the blood were as follows:  $127 \pm 47$  (2 min),  $176 \pm 48$  (5 min),  $64 \pm 15$  (15 min),  $65 \pm 10$  (25 min), and  $31 \pm 6$  (50 min) consistent with a bolus plus constant infusion administration. Areas under the curve (until 50 min) for all nicotine studies were 4044 + 737 ng/ml  $\cdot$  min. Levels of cotinine (ng/ml), the major metabolite of nicotine, in the blood were as follows:  $0.7 \pm 1.2$  (2 min),  $21 \pm 19$  (5 min),  $57 \pm 24$  (15 min),  $77 \pm 23$  (25 min), and  $104 \pm 25$  (50 min).

# Nicotine- and Amphetamine-Induced $BP_{ND}$ Changes with [<sup>11</sup>C]PHNO

The effects of the nicotine and amphetamine on  $[^{11}C]PHNO$ BP<sub>ND</sub> are presented in Table 3 and Figure 1. There was a

**Table 2** [<sup>11</sup>C]PHNO Binding Potentials and Test–Retest Variability (n = 3)

	BP <sub>ND</sub>	∆ <b>BP<sub>ND</sub> (%)</b>		
Caudate	$3.8 \pm 0.6$	7±17		
Putamen	4.5 ± 0.1	$-2 \pm 10$		
N. acc	$5.0 \pm 0.8$	$-3 \pm 6$		
GP	$6.5 \pm 0.9$	6±14		
S. nigra	4.3 ± 1.6	2±17		

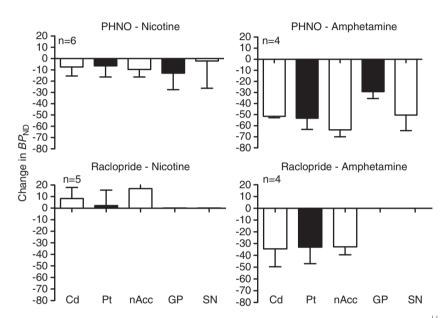
Abbreviations: GP, globus pallidus; N. acc, nucleus accumbens; S. nigra, substantia nigra.

J-D Gallezot et al

Table 3	Effect of	<sup>•</sup> Nicotine	and	Amphetamine	on [	[''C]PHNO BP <sub>ND</sub>	
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	Nicotine $(n=6)$		Amphetamine $(n = 4)$		Post hoc test (*p<0.05)		Effect size			
	Baseline	Nicotine	∆BP <sub>ND</sub> (%)	Baseline	Amphetamine	Δ <b>ΒΡ<sub>ΝD</sub></b> (%)	Baseline vs nicotine	Baseline vs amphetamine	Baseline vs nicotine	Baseline vs amphetamine
Caudate	4.0 ± 0.5	3.7 ± 0.6	$-7 \pm 8$	3.8 ± 0.5	1.8±0.3	$-52 \pm 2$	*	*	0.96	11.
Putamen	4.5 ± 0.6	4.2 ± 0.8	-6±10	4.5 ± 0.7	$2.1 \pm 0.6$	-53±10	NS	*	0.61	4.7
N. acc.	5.2 ± 0.9	4.6 ± 0.5	$-10 \pm 7$	4.8 ± 0.7	$1.7 \pm 0.3$	$-64 \pm 6$	*	*	1.20	4.2
GP	$7.0 \pm 0.6$	6.1 ± 1.0	—  3± 5	7.2 ± 0.3	5.1 ± 0.3	$-29 \pm 6$	*	*	0.87	4.0
S. nigra	4.3 ± 0.9	4.1 ± 0.7	$-2 \pm 24$	4.4 ±  .	2.1 ± 0.3	$-50 \pm 14$	NS	*	0.17	1.9

Abbreviations: GP, globus pallidus; N. acc, nucleus accumbens; NS, not significant; S. nigra, substantia nigra. \*Denotes significant p < 0.05.



**Figure I** Change in  $BP_{ND}$  is pictured for nicotine- (left panels) and amphetamine- (right panels) induced DA release using [<sup>11</sup>C]PHNO (upper panels) and for [<sup>11</sup>C]raclopride (lower panels) in the caudate (Cd), putamen (Pt), nucleus accumbens (nAcc) for both tracers and for globus pallidus (GP) and substantia nigra (SN) for [<sup>11</sup>C]PHNO.

significant main effect of drug (F(2,8)  $\geq$  89, p < 0.0001). Post hoc tests indicate that amphetamine significantly reduced  $BP_{\rm ND}$  (p < 0.0001) in all regions ( $-29 \pm 6$  to  $-64 \pm 6\%$ ), and nicotine significantly reduced  $BP_{\rm ND}$  in the caudate ( $-7 \pm 8\%$ , p = 0.02), the nucleus accumbens ( $-10 \pm 7\%$ , p = 0.006), and the globus pallidus ( $-13 \pm 15\%$ , p = 0.04) but not in the putamen (p = 0.14) or the substantia nigra (p = 0.68). The effect size was lowest in the substantia nigra (0.17 for nicotine and 1.9 for amphetamine), and highest in the caudate for amphetamine (11.0) and in the nucleus accumbens for nicotine (1.20).

# Nicotine- and Amphetamine-Induced $BP_{ND}$ Changes with [<sup>11</sup>C]raclopride

The effects of nicotine and amphetamine on [<sup>11</sup>C]raclopride  $BP_{\rm ND}$  are presented in Table 4 and Figure 1. In the caudate, putamen, and nucleus accumbens, where there is measurable [<sup>11</sup>C]raclopride  $BP_{\rm ND}$ , the main effect of drug was significant (F(2,29)  $\geq$  14.6, p < 0.0001). *Post hoc* tests indicate that amphetamine significantly reduced  $BP_{\rm ND}$ 

(p < 0.01) in all three regions  $(-33 \pm 7 \text{ to } -35 \pm 15\%)$ , whereas nicotine did not significantly reduce  $BP_{\text{ND}}$  in any region  $(2 \pm 13 \text{ to} 17 \pm 16\%)$ . There was a significant increase in  $BP_{\text{ND}}$  in the nucleus accumbens (p < 0.01). This is partially because, in one monkey, nicotine resulted in increased  $BP_{\text{ND}}$  in all regions (25% in the putamen to 38% in the nucleus accumbens) and this was not consistent with the other four animals across regions  $(-2 \pm 9\%)$  in the putamen to  $12 \pm 13\%$  in the nucleus accumbens). Owing to the variable and unexpected direction of the change of  $BP_{\text{ND}}$ with nicotine, the effect size was only computed for amphetamine studies. The effect size was 2.0 in the caudate and putamen and 4.5 in the nucleus accumbens.

# Comparison of [<sup>11</sup>C]PHNO and [<sup>11</sup>C]raclopride sensitivity to the Amphetamine Challenge

 $\Delta BP_{\rm ND}$  estimates induced by amphetamine tended to be larger for [<sup>11</sup>C]PHNO than for [<sup>11</sup>C]raclopride in all regions, and this difference was significant in the nucleus accumbens

	Nicotine $(n = 5)$		Amphetamine (n = 4)		Post hoc test (*p<0.05)		Effect size			
	Baseline	Nicotine	∆BP <sub>ND</sub> (%)	Baseline	Amphetamine	∆BP <sub>ND</sub> (%)	Baseline vs nicotine	Baseline vs amphetamine	Baseline vs nicotine	Baseline vs amphetamine
Caudate	3.8 ± 0.4	4.1 ± 0.7	8±10	3.7 ± 0.3	2.4 ± 0.5	- 35 ± 15	NS	*	NC	2.0
Putamen	5.3 ± 0.6	5.4±1.1	2±13	$5.2 \pm 0.7$	$3.5 \pm 0.9$	- 33 ± 14	NS	*	NC	2.0
N. acc.	2.2 ± 0.2	2.6 ± 0.2	17±16	2.2 ± 0.1	$1.5 \pm 0.1$	$-33 \pm 7$	*	*	NC	4.5

**Table 4** Effect of Nicotine and Amphetamine on [<sup>11</sup>C]Raclopride BP<sub>ND</sub>

Abbreviations: N. acc., nucleus accumbens; NC, not computed; NS, not significant.

(paired Student's *t*-test, p < 0.001) but not in the caudate (p = 0.09) or putamen (p = 0.25).

#### Relationships Between $\Delta BP_{ND}$ and Plasma Variables

For correlation analyses, results from [<sup>11</sup>C]PHNO and [<sup>11</sup>C]raclopride were grouped. There were no significant correlations between peak nicotine or amphetamine concentration, nicotine or amphetamine AUC and  $\Delta BP_{\rm ND}$ . There was a trend toward a negative relationship between  $\Delta BP_{\rm ND}$  in the putamen with nicotine AUC (p = 0.08, r = -0.49) and peak nicotine concentration (p = 0.07, r = -0.53) suggesting a larger percent change in  $BP_{\rm ND}$  with increasing nicotine plasma concentrations.

#### DISCUSSION

In the current study, we found statistically significant nicotine-induced DA release in the striatum with [<sup>11</sup>C]PHNO but not [<sup>11</sup>C]raclopride PET. As expected, amphetamine-induced striatal DA release was robust and reliably and significantly measured with both [<sup>11</sup>C]raclopride (33–35%) and [<sup>11</sup>C]PHNO (52–64%). Nicotine caused a detectable amount of DA release in the caudate (7%), nucleus accumbens (10%), and an extrastriatal region, the globus pallidus (13%), as measured with [<sup>11</sup>C]PHNO. We did not find significant relationships between plasma amphetamine or nicotine concentrations and  $\Delta BP_{\rm ND}$  with either radiotracer.

Nicotine produced small (6-10%) but significant reductions in striatal  $BP_{ND}$  with [<sup>11</sup>C]PHNO and variable increases (2–17%) in striatal  $BP_{ND}$  with [<sup>11</sup>C]raclopride. Our findings with [<sup>11</sup>C]PHNO are in line with the human literature suggesting that tobacco smoking results in  $\sim 8\%$ reduction in BP with [11C]raclopride (Brody et al, 2010, 2009a, b; Scott et al, 2007) and are also consistent with the preclinical literature reporting small but significant (5–10%) reductions in  $[^{11}C]$  raclopride  $BP_{ND}$  by intravenous nicotine (0.06-0.5 mg/kg, intravenously) (Cumming et al, 2003; Marenco et al, 2004). In our hands, [<sup>11</sup>C]PHNO TRV was higher at 7 + 17% in the caudate and 2 + 10% in the putamen based on data from three monkeys. This suggests that a 6–10% reduction in  $[^{11}C]$ PHNO  $BP_{ND}$  by nicotine is not greater than the variability we find in the current study within baseline [<sup>11</sup>C]PHNO scans. A limitation is that the current study may have been underpowered to detect a larger effect in the striatum and studies involving human subjects will have larger sample sizes. In fact, the effect sizes

in the nicotine experiments with  $[^{11}C]$ PHNO in the current study were similar (putamen) or higher (other basal ganglia ROIs) than the effect size reported previously (Marenco *et al*, 2004) using  $[^{11}C]$ raclopride (0.62).

 $[^{11}C]$ PHNO BP<sub>ND</sub> values were quantified using MRTMS. In humans, SRTM (Lammertsma and Hume, 1996) and the simple form of the noninvasive graphical analysis (Gunn et al, 2002; Logan et al, 1996; equation 7) can be used to quantify [<sup>11</sup>C]PHNO BP<sub>ND</sub> without arterial blood sampling (Ginovart et al, 2007b). However, in the current study, in rhesus monkeys SRTM tended to underestimate [<sup>11</sup>C]PHNO  $BP_{\rm ND}$ , especially in regions with high  $BP_{\rm ND}$  values (eg, in the pallidum SRTM  $BP_{ND}$  values were  $15 \pm 4\%$  lower than with MA1). SRTM has not previously been extensively compared with methods using arterial blood sampling in rhesus monkeys. This underestimation may be due the higher  $BP_{\rm ND}$  values observed in rhesus monkeys (7.0 ± 0.6 in the pallidum) than in humans (mean pallidum  $BP_{ND}$  values were within the 3-4 range depending on the method (Ginovart et al, 2007a). MRTMS, which is based on the same hypotheses as the simple form of the noninvasive graphical analysis, but reduces the noise-induced bias seen in the graphical analyses like the MRTM and MRTM2 methods, provided  $[^{11}C]$ PHNO  $BP_{ND}$  estimates that were better correlated with BP<sub>ND</sub> values obtained using arterial blood sampling. MRTMS is similar to MRTM, but one less parameter needs to be estimated in MRTMS, which can help reduce the variability of BP<sub>ND</sub> estimates. Conversely, MRTMS is applicable to fewer tracers than MRTM or MRTM2, in the same manner that the simpler form of the noninvasive graphical analysis (Gunn et al, 2002; Logan et al, 1996; equation 7) is applicable in fewer cases than the three-parameter form (Ichise et al, 1996; Logan et al, 1996; equation 6).

The injected mass of [<sup>11</sup>C]PHNO used in this study (0.08 µg/kg) is below the tracer dose limit for D<sub>2</sub> receptors but not for D<sub>3</sub> receptors. In humans, the recommended dose of [<sup>11</sup>C]PHNO is 0.029 µg/kg or lower, to reduce the frequency of side effects (Mizrahi *et al*, 2010). The true tracer dose of [<sup>11</sup>C]PHNO at D<sub>3</sub> receptors may be lower still. In anesthetized rhesus monkeys, the tracer dose limit may be higher than in humans as the uptake of [<sup>11</sup>C]PHNO is lower in the cerebellum of anesthetized rhesus monkeys than in humans. The average SUV at 60–120 min after injection in the cerebellum measured in our laboratory was  $0.36 \pm 0.06$  (n = 34 baseline scans) and  $0.63 \pm 0.10$  (n = 48 baseline scans) in rhesus monkeys and humans, respectively. We estimated that the tracer dose in rhesus monkeys is 0.01-0.02 µg/kg (for 5% occupancy) in a previous study

(Gallezot *et al*, 2012). Based on that study, the selfoccupancy of  $[^{11}C]PHNO$  at D<sub>3</sub> receptors would be 25% in this study. This would reduce the effect of nicotine. For example, if the true occupancy of nicotine were 10% for D<sub>3</sub> receptors, the D3-related observed effect would be only 7.6%. However, specific activities achievable for  $[^{11}C]PHNO$ are lower than that of  $[^{11}C]$ raclopride owing to the more complicated synthesis. We decided to use a higher than tracer dose as a trade-off to reduce the noise in PET images.

The dose of nicotine (0.18 mg/kg over 30 min) used in this study was based on earlier work by Domino and Tsukada (2009) that reported a 300% increase in striatal DA above baseline measured with microdialysis in awake monkeys. The increase was sustained for over 2 h, suggesting that we did not miss a transient change in DA. It is possible that increases in extrasynaptic DA measured by microdialysis do not directly reflect changes in synaptic DA levels and the paradigm chosen may not have been optimal to measure changes in synaptic levels of DA. The aim of the study was to elicit maximal DA release to determine if it could be reliably measured with [<sup>11</sup>C]raclopride or [<sup>11</sup>C]PHNO. Earlier preclinical studies using bolus nicotine administration (Cumming et al, 2003; Dewey et al, 1999; Marenco et al, 2004), which more closely resemble human smoking, did not find larger changes in BP<sub>ND</sub> than ours. Those papers reported changes on the order of 5-10%, similar to our findings.

Even though an initial human smoking study reported relatively large changes in BP<sub>ND</sub> (Brody et al, 2004), followup studies by the same group concluded that smoking a cigarette causes an approximate 8% reduction in  $BP_{ND}$ . These findings are generally consistent with the preclinical studies reviewed above. We note one important difference between the human and animal studies is that the humans are nicotine-dependent. Interestingly, microdialysis studies suggest slightly greater nicotine-induced DA release in nicotine pre-treated vs saline-treated animals (Marshall et al, 1997; Shim et al, 2001). Thus, it is possible that smoking-induced DA release is somewhat greater in nicotine-dependent subjects compared with the preclinical studies. We are currently developing and evaluating alternative methods for measuring smoking-induced DA release in chronic human tobacco smokers (Morris et al, 2013; Sullivan et al, 2013). These methods are designed to detect brief DA changes that may be localized to subregions of the striatum.

In addition to finding significant reductions in striatal  $BP_{ND}$  with nicotine and [<sup>11</sup>C]PHNO, there was a significant reduction in  $[^{11}C]$ PHNO  $BP_{ND}$  in the globus pallidus, which has not been reported previously. The majority of studies investigating DA release use the radiotracer [<sup>11</sup>C]raclopride, which measures  $D_2/D_3$  receptors, in the caudate and putamen, but [<sup>11</sup>C]PHNO allows for additional measurement of  $D_2/D_3$  receptors in the globus pallidus and substantia nigra, which contain predominantly D<sub>3</sub> receptors. The DA neurons in the globus pallidus are primarily involved in voluntary motor function. However, DA release in the globus pallidus in response to food presentation and consumption has been measured with microdialysis in rodents(Fuchs et al, 2005; Hauber and Fuchs, 2000). This suggests a role for the globus pallidus in appetitive behavior, which may include drug abuse. Although amphetamine administration (0.42 mg/kg, *per os*) to humans did not significantly reduce [<sup>11</sup>C]PHNO  $BP_{\rm ND}$  in the globus pallidus (6.5%), we found a significant reduction (29%) with amphetamine (0.4 mg/kg, intravenously) in anesthetized non-human primates. The difference in results may be due to the differences in the route of administration or to anesthesia.

<sup>[11</sup>C]PHNO has been shown to have greater sensitivity over [<sup>11</sup>C]raclopride to amphetamine-induced striatal DA release in healthy human (Shotbolt et al, 2012) and in cat brain(Ginovart et al, 2006). Our findings support increased sensitivity of [11C]PHNO over [11C]raclopride, for amphetamine (52-64% vs 25-33%, respectively). In addition, we obtained a more reliable and significant signal with [<sup>11</sup>C]PHNO when measuring small changes in DA induced by nicotine. Unlike [<sup>11</sup>C]raclopride, [<sup>11</sup>C]PHNO can be used to measure binding in D<sub>3</sub>-rich regions, such as the globus pallidus and substantia nigra. As DA has higher affinity for D<sub>3</sub> receptors, a given amount of released DA would produce a larger change in the globus pallidus than in the caudate or putamen. This may be a contributing factor to our ability to detect a significant nicotine-induced change in the pallidum. As for PHNO in the substantia nigra, which is effectively all D<sub>3</sub>, this region's small size in combination with a relatively less robust effect of nicotine vs amphetamine on DA release, makes reliable detection of changes more difficult. The two other regions with the highest ratio of D<sub>3</sub> to D<sub>2</sub> receptors (pallidum, nucleus accumbens) are also intrinsically small and suffer from partial volume effects, with both spill-out of the signal outside of the region and spill in for neighboring D2-rich regions. This would reduce the benefits of being able to observe both D<sub>2</sub> and D<sub>3</sub> receptors with [<sup>11</sup>C]PHNO. In future studies, improvement in specific activities or improvement in reconstruction algorithms and noise reduction techniques may help to obtain higher resolution images. In human studies, the relative size of brain ROIs compared with the scanner resolution may be more favorable, especially since with the filtering included in the FBP algorithm in the current study, the resolution of the images (about 3.2 mm) is not much higher than that of the best dedicated brain scanners for human studies.

In the current study, we detected significant striatal (caudate and nucleus accumbens) DA release induced by nicotine with [<sup>11</sup>C]PHNO but not [11C]raclopride PET.  $BP_{ND}$  in the globus pallidus, an extra-striatal region, as measured with [<sup>11</sup>C]PHNO was also significantly reduced. In addition, we replicated the recent finding that [<sup>11</sup>C]PHNO is more sensitive than [<sup>11</sup>C]raclopride for measuring amphetamine-induced DA release. Thus, [<sup>11</sup>C]PHNO PET may be more sensitive than [<sup>11</sup>C]raclopride for measuring tobacco smoking-induced DA release in human tobacco smokers.

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874