Effect of Secondhand Smoke on Occupancy of Nicotinic Acetylcholine Receptors in Brain

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Context: Despite progress in tobacco control, secondhand smoke (SHS) exposure remains prevalent worldwide and is implicated in the initiation and maintenance of cigarette smoking.

Objective: To determine whether moderate SHS exposure results in brain $\alpha_2\beta_2$ nAChR occupancy.

Design, Setting, and Participants: Positron emission tomography scanning and the radiotracer 2-[18F]fluoro-3-(2(S)azetidinylmethoxy) pyridine (also known as 2-[18F]fluoro-A-85380, or 2-FA) were used to determine $\alpha_2\beta_2$ nAChR occupancy from SHS exposure in 24 young adult participants (11 moderately dependent cigarette smokers and 13 nonsmokers). Participants underwent two bolus-plus-continuous-infusion 2-FA positron emission tomography scanning sessions during which they sat in the passenger's seat of a car for 1 hour and either were exposed to moderate SHS or had no SHS exposure. The study took place at an academic positron emission tomography center.

Main Outcome Measure: Changes induced by SHS in 2-FA specific binding volume of distribution as a measure of $\alpha_2\beta_2$ nAChR occupancy.

Results: An overall multivariate analysis of variance using specific binding volume of distribution values revealed a significant main effect of condition (SHS vs control) ($F_{1,22}=42.5, P<.001$) but no between-group (smoker vs nonsmoker) effect. Exposure to SHS led to a mean 19% increase in craving with SHS exposure and a correlation between thalamic $\alpha_2\beta_2$ nAChR occupancy and craving alleviation with subsequent cigarette smoking (Spearman $\rho=-0.74, P=.01$).

Conclusions: Nicotine from SHS exposure results in substantial brain $\alpha_2\beta_2$ nAChR occupancy in smokers and nonsmokers. Study findings suggest that such exposure delivers a priming dose of nicotine to the brain that contributes to continued cigarette use in smokers. This study has implications for both biological research into the link between SHS exposure and cigarette use and public policy regarding the need to limit SHS exposure in cars and other enclosed spaces.

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DESPITE SUBSTANTIAL PROGRESS IN TOBACCO CONTROL, SECONDHAND SMOKE (SHS) EXPOSURE REMAINS PREVALENT WORLDWIDE, WHERE THE HOME AND OTHER ENCLOSED SPACES ARE THE PRIMORDIAL LOCATIONS FOR SUCH EXPOSURE. Secondhand smoke is reported to be more toxic than mainstream cigarette smoke and causes disease and premature death in children and adults who do not smoke. Exposure to SHS is associated with sudden infant death syndrome, low birth weight, chronic middle ear infections, and respiratory diseases in children as well as cancer and coronary heart disease in adults.

In addition to direct health risks, prior research indicates that SHS exposure promotes the initiation and maintenance of smoking behavior through effects on the central nervous system. These studies demonstrate that young never-smokers exposed to SHS in a motor vehicle have an elevated risk of experiencing symptoms of nicotine dependence, while children with higher nicotine intake from SHS are more likely to become cigarette smokers as teenagers and adult smokers exposed to more sources of SHS are less likely to initiate or maintain abstinence. In addition, studies of laboratory rats demonstrate that long-term exposure to cigarette smoke leads to nicotine dependence and an upregulation of nicotinic acetylcholine receptor levels in brain. While these studies indicate that SHS exposure directly affects the central nervous system to promote smoking behavior, brain nAChR occupancy from SHS exposure has not yet (to our knowledge) been demonstrated.
nAChR occupancy from moderate SHS exposure.

We also determined that smoking a full cigarette or smoking at satiety resulted in nearly complete saturation of α2β4 nAChRs. In a follow-up study,25 smoking a denicotinized cigarette (with the resulting intake of a trace amount of nicotine) resulted in 20% occupancy of α2β4 nAChRs. Results of these 2 studies24,25 indicate that nicotine alone is responsible for α2β4 nAChR occupancy from cigarette smoking. Because heavy exposures to SHS (peak air carbon monoxide level of 13 ppm) are associated with plasma nicotine concentrations greater than 2 ng/mL in humans,26 these prior findings strongly suggest that SHS exposure results in brain α2β4 nAChR occupancy. For the current study, we used the same general 2-FA PET scanning methods as in previous studies24,25,27 to examine α2β4 nAChR occupancy from moderate SHS exposure.

ABSTINENCE PERIOD AND PET PROTOCOL

After the initial screenings, participants underwent PET scanning following the same general procedure as in our prior reports,24,25 except that participants here underwent 2 PET scanning sessions (randomized order, 1 week apart) during which they sat in a car and either were or were not exposed to moderate SHS for 1 hour.

Prior to each PET session, participants from the cigarette smoker group began abstinence from smoking and other nicotine use at 6 PM 2 nights earlier so that nicotine from smoking would not compete with the radiotracer for receptor binding during the PET procedure. They reported to our laboratory at 1 PM the day after initiating abstinence (the day before PET scanning), and a brief clinical interview and exhaled carbon monoxide measurement were obtained. Participants were deemed to be compliant with the study protocol if they reported no smoking or nicotine use since 6 PM the previous night and had an exhaled carbon monoxide level of 8 ppm or less. Participants were seen the following day for PET scanning and were required to report continuous abstinence since 2 nights previously and have an exhaled carbon monoxide level of 4 ppm or less to undergo PET scanning. Participants were given financial incentives to maintain this abstinence.

At 12 PM on the scanning day, participants arrived at the Greater Los Angeles Veterans Affairs Healthcare System PET Center and, after abstinence was verified as described earlier. Each participant then had an intravenous line placed at 12:45 PM in a room adjacent to the scanner. At 1 PM, bolus plus continuous infusion of 2-FA was initiated. The amount of 2-FA administered as a bolus was equal to the amount infused over 500 minutes.27,33

After initiation of the 2-FA bolus plus continuous infusion, participants remained seated in a room adjacent to the PET scanner for the next 3 hours to allow the radiotracer to reach a relatively steady state in the brain. At 4 PM, PET scanning commenced and continued for 1 hour. At 5 PM, participants had a 1-hour break in scanning, during which they sat in the passenger’s seat of a car immediately outside the PET center and were either exposed (one PET session) or not exposed (the other PET session) to SHS produced by a smoker seated in the driver’s seat. For the SHS condition, the smoker smoked a mean (SD) of 3.7 (0.8) cigarettes to maintain a target air carbon monoxide level of 7 ppm above the ambient level (as measured with a WolfSense EC-202 carbon monoxide monitor; GrayWolf Sensing Solutions, Shelton, Connecticut). Car windows were closed other than a small (<1 cm) opening on the passenger’s side of the car to allow space for the extension tubing delivering the infusion of the radiotracer. Participants were then scanned for 1 hour and subsequently had a 10-minute break. During this break, smokers smoked to satiety (mean [SD], 2.1 [0.8] cigarettes) to saturate α2β4 nAChRs and displace specifically bound radiotracer from the brain. This pro-
 Newark, San Francisco, using a modified version of a published gas laboratory of Peyton Jacob, PhD, at the University of California. Attenuation-correction scanning was performed with the germanium rotating-rod source built into the scanner for 5 minutes at the end of the scanning session, and this attenuation correction was applied to all scans. The 2-FA was prepared using a published method.35

Blood samples (5 mL) were drawn during PET scanning for determinations of free, unmetabolized 2-FA and nicotine levels in plasma. For plasma 2-FA levels, 9 venous blood samples were drawn at predetermined intervals from 3 to 8.5 hours after the initiation of 2-FA administration, and 2-FA levels were determined using previously published methods.36,38 For plasma nicotine levels, blood samples were drawn prior to and following the SHS break in scanning. Samples were centrifuged and venous plasma nicotine concentrations were determined in the laboratory of Peyton Jacob, PhD, at the University of California, San Francisco, using a modified version of a published gas chromatography–mass spectrometry method.39 Because maximum sensitivity was required, tandem mass spectrometry was used. The extraction was identical to the published method. Deuterium-labeled nicotine was used as the internal standard. The triple quadrupole (tandem) mass spectrometer was operated in the positive ion mode using chemical ionization with isobutane as the reagent gas. Quantification was achieved using selected reaction monitoring. The lower limit of quantification with this method is 0.1 ng/mL.

**SYMPTOM RATING SCALE ADMINISTRATION**

The Secondhand Smoke Rating Scale26 was administered before and after SHS exposure. This scale consists of analog ratings (range, 0-6) for common symptoms of SHS exposure (eye irritation, nose irritation, runny nose, nasal congestion, coughing, chest tightness, and heart palpitations). For the smoker group, cigarette craving was monitored at 4 points during the PET session (before and after SHS exposure and before and after cigarette smoking) with the Urge to Smoke Scale,41,42 an analog scale (range, 0-6) with 10 craving-related questions.

**MAGNETIC RESONANCE IMAGING**

A magnetic resonance imaging scan of the brain was obtained for each participant within a week of the PET scanning sessions, with the following specifications: 3-dimensional Fourier-transform spoiled-gradient-recalled acquisition with a repetition time of 30 milliseconds, an echo time of 7 milliseconds, a 30° flip angle, 2 acquisitions, and a 256 × 192 view matrix. The acquired volume was reconstructed as 90 contiguous 1.5-mm-thick transaxial slices.

**PET IMAGE ANALYSIS**

After decay and motion correction, each subject’s PET images were coregistered to his or her magnetic resonance imaging scan using PMOD version 2.9 software (PMOD Technologies Ltd, Zurich, Switzerland). Regions of interest (ROIs) were then drawn on magnetic resonance images using PMOD and transferred to the coregistered PET scans. The ROIs included the thalamus, brainstem, and cerebellum, which were chosen prior to the data analysis.

**STATISTICAL ANALYSIS**

Overall repeated-measures multivariate analyses of variance were performed for both V/fP and V/ND, using these values for the 3 ROIs across the 2 conditions (no SHS vs SHS) as the repeated measures and group (smoker vs nonsmoker) as a between-subject factor. These multivariate analyses of variance were performed for the closely related values of V/fP and V/ND because V/fP includes the V/ND/fP values obtained from smokers who smoked to satiety and we wanted to demonstrate condition differences (no SHS vs SHS) for data both without (V/fP) and with (V/ND) the correction for ND radioactivity. To clarify results of these overall tests, percentage of nAChR occupancy from SHS exposure was determined using V/ND values from sessions without and with SHS exposure (for derivations, see the articles by Brody et al34 and Innis et al46). Specifically, percentage of occupancy...
was calculated as 100 × (V/fP control − V/fP SHS exposure)/ (V/fP control). A 1-sample t test was then performed for the entire study sample for the average percentage of occupancy of the 3 ROIs. One-sample t tests were also performed for the entire study group for the ROIs separately and for the ROIs for the smoker and nonsmoker groups separately. For completeness, percentages of nAChR occupancy from SHS exposure were compared between smokers and nonsmokers and between regions using t tests.

For symptom rating scales, paired t tests were performed within the 2 study groups to determine whether SHS exposure resulted in increased SHS-related symptoms (a between-group unpaired t test was also run) and a paired t test was performed within the group of smokers who were not at maximal cigarette craving prior to testing (n = 9) to determine whether SHS exposure resulted in a change in craving. Effect sizes for the regional occupancy and symptom rating scale analyses are reported as mean divided by standard deviation (Cohen d).

In addition to the determination of receptor occupancy from SHS exposure, exploratory analyses were performed to determine relationships between αβ2* nAChR occupancy and symptoms associated with SHS exposure. Specifically, Spearman rank correlation coefficients were determined between SHS-induced αβ2* nAChR occupancy and changes in SHS-related symptoms (both study groups) and cigarette craving (smoker group) during PET scanning. Statistical tests were performed using PASW/SPSS Statistics version 17.0 statistical software (SPSS Inc, Chicago, Illinois).

RESULTS

During the SHS exposure condition, the mean (SD) air carbon monoxide level was 7.4 (1.0) ppm, which was signifi-
cantly higher than the control condition (0.5 [0.2] ppm) (2-sample t test, P < .001). This SHS exposure resulted in a mean increase in plasma nicotine concentration for the total study sample of approximately 0.2 ng/mL. In smokers, SHS exposure resulted in an increase in mean (SD) plasma nicotine concentration from 0.16 (0.03) ng/mL to 0.37 (0.10) ng/mL (paired t test, P = .046). In nonsmokers, an exact determination of the increase in plasma nicotine levels was not possible because almost all (11 of 13) of the plasma samples had nondetectable nicotine levels prior to exposure. The nonsmoker group had a mean (SD) plasma nicotine concentration of 0.17 (0.05) ng/mL following SHS exposure.

The overall multivariate analyses of variance for VT/fP and Vt/fP revealed significant main effects of condition (control vs SHS exposure) (F1,22 = 42.4, P < .001 and F1,22 = 42.5, P < .001, respectively) but no interaction between condition and group (smoker vs nonsmoker) (F1,22 = 0.9, P = .36 for both measures), indicating that SHS exposure results in α2/β2* nAChR occupancy and that smokers and nonsmokers do not differ in this effect. For all 3 ROIs studied, SHS exposure resulted in statistically significant decreases in VT/fP (Figure 1B) and Vt/fP (Figure 1C) values compared with the control condition in both participant groups.

Based on calculations of percentage of α2/β2* nAChR occupancy from VT/fP values, the central study result was that moderate SHS exposure led to a mean 19% occupancy across the 3 ROIs for the entire study sample (1-sample t test, 2-tailed, P < .001). For the smoker and nonsmoker groups separately, the mean α2/β2* nAChR occupancies across the 3 ROIs were 19% and 18%, respectively (for thalamus, brainstem, and cerebellum: 22%, 17%, and 19% for smokers, respectively, and 19%, 18%, and 18% for nonsmokers, respectively). All of these receptor occupancy values for the smoker and nonsmoker groups were statistically significant (for thalamus, brainstem, and cerebellum: 22%, 17%, and 19% for smokers, respectively, and 19%, 18%, and 18% for nonsmokers, respectively). These values ranged from .60 to .89, with corresponding effect sizes ranging from 0.06 to 0.22.

There were no significant differences between smokers and nonsmokers in α2/β2* nAChR occupancies from SHS exposure (P values ranged from .60 to .89, with corresponding effect sizes ranging from 0.06 to 0.22). There were no significant differences between regions in α2/β2* nAChR occupancy from SHS exposure (paired t tests, P values of .34–.82; Cohen d values of 0.05–0.2). Average ROI receptor occupancies ranged up to 56%, indicating potential individual differences in susceptibility to the adverse effects of SHS exposure and/or variability inherent to the PET method.

For the Secondhand Smoke Rating Scale, nonsmokers had a significant increase in symptom ratings from before to after SHS exposure (mean [SD] score increased from 1.2 [2.4] to 3.9 [2.6]; paired t test, P = .02), whereas smokers had a smaller nonsignificant increase in SHS symptoms (mean [SD] score increased from 2.8 [5.8] to 3.4 [4.8]; paired t test, P = .11). The difference between nonsmokers and smokers on change in this measure was not significant (2-sample t test; Cohen d = 0.7). There were no significant correlations for the total sample (or the nonsmoker or smoker groups) between SHS-induced α2/β2* nAChR occupancy and SHS symptom severity.

Smokers had an increase in mean craving scores on the Urge to Smoke Scale (mean score increased from 3.0 to 3.7) from before to after SHS exposure (paired t test, 2-tailed, P = .02). Cohen d for this analysis was 0.9. In addition, there was a significant negative correlation between thalamic α2/β2* nAChR occupancy from SHS exposure and change in craving from before to after cigarette smoking during the scanning session (Spearman ρ = −0.74, 2-tailed, P = .01).

COMMENT

Moderate SHS exposure leads to an increase in plasma nicotine concentration of approximately 0.2 ng/mL and a mean 19% brain α2/β2* nAChR occupancy in young adults. These results are consistent with our finding that a plasma nicotine concentration of 0.87 ng/mL (from cigarette smoking) is associated with 50% occupancy of available α2/β2* nAChRs.24 Because research examining heavy SHS exposure (in enclosed rooms with multiple smokers) demonstrates increases in plasma nicotine levels greater than 2 ng/mL,26 the present and prior studies indicate that such heavy exposures would result in greater than 70% α2/β2* nAChR occupancy. Additionally, as prepubescent children and infants have a 1-minute ventilation per kilogram of body weight that is approximately 2 to 3 times higher than adults,47–49 increases in plasma nicotine concentration and occupancy of brain α2/β2* nAChRs from similar levels of SHS exposure may be even greater for children than for adults.

The SHS exposure used here was moderate, being greater than, for example, the exposure in a well-ventilated moving car but less than the exposure in an enclosed space with multiple smokers. In addition, the plasma nicotine levels and brain α2/β2* nAChR occupancies with SHS exposure are not as high as those found with primary cigarette smoking, where daily plasma nicotine levels of 10 to 50 ng/mL50 and brain α2/β2* nAChR occupancy of approximately 95% from smoking to satiety59–63 have been reported. These differences between SHS exposure and primary cigarette smoking may explain why SHS exposure is not generally observed to be addictive in humans (although it may contribute to primary cigarette smoking behavior as noted earlier).11–13

In addition to the central study finding, SHS-related symptoms were numerically greater for nonsmokers than for smokers, which is consistent with prior research demonstrating greater sensitivity to SHS among nonsmokers.51–52 However, these symptoms did not correlate with brain α2/β2* nAChR occupancy, suggesting that the SHS symptoms measured here (eg, coughing and runny nose) are mediated by local peripheral reactions25–29 and that nicotine binding to α2/β2* nAChRs in the central nervous system does not mediate these symptoms. In addition, cigarette smoking leads to upregulation of α2/β2* nAChRs,41–43,55–56 thereby, while smokers and nonsmokers here had similar percentages of receptor occupancy from SHS exposure, the nonsmokers would be expected to have a smaller number of available receptors following SHS exposure than...
smokers, which could be an important factor for the substantial symptoms experienced by nonsmokers when exposed to SHS. These issues could be explored in future research with a larger sample size and a more detailed analysis of SHS-related symptoms.

The study findings of increased craving in smokers from before to after SHS exposure and a correlation between nAChR occupancy and craving alleviation with smoking suggest that a moderate SHS exposure delivers a priming dose of nicotine, which increases craving, and that greater nAChR occupancy from SHS exposure leads to greater craving alleviation when smokers subsequently smoke to satiety. This interpretation is consistent with prior research in laboratory animals demonstrating that a low dose of nicotine is sufficient to reinstate nicotine-seeking behavior in animals in which nicotine seeking has been extinguished. This mechanism may explain why adult smokers exposed to multiple sources of SHS have difficulty initiating and maintaining abstinence compared with smokers without such exposure.

Although the sample size here was not large, examination of effect sizes indicates that the study had sufficient power for the central analyses. Specifically, the large effect sizes for all ROIs in the study sample were sufficient to have 80% power to detect nAChR occupancy at the $P < .05$ threshold. Additionally, examination of individual data points revealed that occupancies were normally distributed and were not skewed by outliers. For the between-group occupancy analyses, group differences (and effect sizes) were small and indicated that large sample sizes (200-3000 participants) would be needed to detect whether significant group differences exist.

Figure 2. One hour of moderate secondhand smoke (SHS) exposure leads to significant decreases in 2-[18F]fluoro-3-(2S)-azetidinylmethoxy) pyridine (also known as 2-[18F]fluoro-A-85380, or 2-FA) receptor binding in brain. Averages of spatially normalized images obtained from cigarette smokers in the study are shown. From left to right are transaxial, sagittal, and coronal slices through the thalamus. The top row shows the mean T1-weighted magnetic resonance images (MRIs). The middle and bottom rows display the mean specific binding volume of distribution ($V_{S/P}$) determined from positron emission tomography images following either the control condition (no SHS exposure) or moderate SHS exposure, respectively. Lower $V_{S/P}$ is seen in the bottom row, demonstrating nAChR occupancy from SHS exposure.
This study should be considered in the context of several limitations. First, participants were aware of whether they were being exposed to SHS during the PET sessions. Therefore, we cannot rule out the possibility that the stress of SHS exposure resulted in endogenous acetylcholine release; however, our prior research points to nicotine as being the predominant factor in \( \alpha_\beta^* \) nAChR occupancy from cigarette smoke exposure. A future study using SHS produced by smoking denicotinized cigarettes could help clarify this point. Second, while the finding of a correlation between \( \alpha_\beta^* \) nAChR occupancy and craving alleviation with smoking suggests that SHS exposure results in a priming dose of nicotine to the brain, it is also possible that SHS exposure affects craving through a conditioned response to the sensory characteristics of the smoke (or other smoking-related cues), which are independent of SHS-induced \( \alpha_\beta^* \) nAChR occupancy and were not quantified in this study. Third, the limit of quantification for plasma nicotine levels allowed for only an approximation of the change from before to after SHS exposure because we did not have detectable levels for most of the non-smokers prior to SHS exposure. While a commonly used practice is to substitute the limit of quantification divided by 2 or the square root of 2 for these undetectable values, prior research indicates that this approach can lead to substantial error in the interpretation of data.

Given prior research demonstrating that nicotine is likely primarily responsible for central \( \alpha_\beta^* \) nAChR occupancy from smoking, this study demonstrates that nicotine inhaled from SHS crosses the blood-brain barrier and results in \( \alpha_\beta^* \) nAChR occupancy—a factor that may contribute to a greater likelihood of an individual becoming a smoker as a teenager and the maintenance of cigarette smoking in adult smokers. In the United States, 47.8% of the population is protected from SHS exposure by laws that ban smoking in workplaces, restaurants, and bars, while an additional 31.6% are covered by laws banning smoking in at least one of these places. Prior research indicates that this approach can lead to substantial error in the interpretation of data.

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In addition to these bans on smoking in enclosed public spaces, recent laws have banned exposure of children to SHS in cars in 4 states in the United States and Puerto Rico, along with several areas internationally. The Royal College of Physicians and other prominent health care groups have recently called for wider applications of non-smoking bans in cars. Results of this study strongly support these public policy recommendations.

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